CLASS 2 OPEN ACCESS STORED MATERIAL TRANSFER AGREEMENT

THE SHIPMENT OF MATERIALS FROM THE CORIELL INSTITUTE FOR MEDICAL RESEARCH, INC. ("CORIELL") REQUIRES THE RECIPIENT OF THE MATERIALS TO AGREE TO THE TERMS AND CONDITIONS OF USE SET FORTH IN THIS MATERIAL TRANSFER AGREEMENT. AN EXECUTED COPY OF THIS MATERIAL TRANSFER AGREEMENT MUST BE RETURNED TO CORIELL AT 403 HADDON AVENUE, CAMDEN, NJ 08103 (FAX: 856-757-9737) PRIOR TO SHIPMENT.

CHDI, Inc. ("CHDI") supports basic, applied and clinical research aimed at finding diagnoses, treatments, cures and preventions of Huntington's disease.

CHDI has engaged Coriell to provide certain services relating to the management of biological materials.

The undersigned (the "<u>Recipient</u>") desires to obtain certain biological materials from CHDI to enable the Recipient to perform research and development related to Huntington's disease.

CHDI is willing to supply, or cause to be supplied to, the Recipient with certain materials to enable the Recipient to perform research and development related to Huntington's disease.

This Agreement sets forth certain terms and conditions to govern the transfer of certain materials to the Recipient and the use of such materials by the Recipient.

In consideration of the mutual representations, warranties and covenants contained herein and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Recipient hereby agrees as follows:

- 1. <u>Definitions</u>. For the purposes of this Agreement, capitalized terms used herein but not otherwise defined shall have the meanings set forth below:
 - (a) "HD Research and Development" means any activity useful for the creation, development, manufacture or distribution of a product or service for the diagnosis, treatment, cure or prevention of Huntington's disease other than (i) the manufacture or distribution of any such product or service for sale or (ii) the sale of any such product or service. For the avoidance of doubt, HD Research and Development shall not include any right to (A) manufacture or distribute any such product or service for sale or (B) sell any such product or service.
 - (b) "IP Rights Owner" means the entity that originally provided the Original Materials to CHDI. Upon the written request of the Recipient, CHDI shall provide the Recipient with the identity of the IP Rights Owner.

- (c) "Material" means the Original Materials, Progeny and Unmodified Derivatives. The Material shall not include: (i) Modifications or (ii) other substances created by the Recipient through the use of the Material which are not Modifications, Progeny or Unmodified Derivatives.
- (d) "Modifications" means substances created by the Recipient which contain/incorporate the Material.
- (e) "Original Materials" means the materials described on Schedule A.
- (f) "Progeny" means unmodified descendant from the Material, such as virus from virus, cell from cell, or organism from organism.
- (g) "<u>Unmodified Derivatives</u>" means substances created by the Recipient which constitute an unmodified functional subunit or product expressed by the Original Materials. Some examples include: subclones of unmodified cell lines, purified or fractionated subsets of the Original Materials, proteins expressed by DNA/RNA supplied by CHDI, or monoclonal antibodies secreted by a hybridoma cell line.
- 2. <u>Provision of the Original Materials; Ownership.</u>
 - (a) <u>Provision of Original Materials</u>. Within a reasonable period of time following the execution of this Agreement by the Recipient, Coriell shall provide each Original Material to the Recipient at an address specified by the Recipient. The Recipient shall pay for the cost of the delivery of the Original Materials to the Recipient.
 - (b) Ownership.
 - (i) Ownership of the Material. As between CHDI and the Recipient, CHDI shall retain ownership of the Material (including any Material contained or incorporated in any Modification).
 - (ii) Ownership of Modifications and Other Substances. As between CHDI and the Recipient, the Recipient retains ownership of: (A) Modifications (except that CHDI retains ownership rights to the Material included therein) and (B) those substances created through the use of the Material or Modifications, but which are not Modifications, Progeny or Unmodified Derivatives (i.e., do not contain the Original Materials, Progeny or Unmodified Derivatives).
- 3. Non-Exclusive License; Use of the Material.
 - (a) <u>Non-Exclusive License</u>. CHDI hereby grants to the Recipient a non-exclusive, non-transferable, non-assignable, non-sublicensable, paid-up license throughout the world to (i) replicate the Material and (ii) use the

Material for the sole purpose of conducting HD Research and Development.

- (b) <u>Use of the Material</u>. The Recipient hereby agrees:
 - (i) to use the Material (including any Material contained or incorporated in any Modification) for the sole purpose of conducting HD Research and Development and for no other purpose;
 - (ii) to use the Material and all substances created by the Recipient through the use of the Material (including any Material contained or incorporated in any Modification) in compliance with all applicable laws, rules and regulations;
 - (iii) not to use the Material (including any Material contained or incorporated in any Modification) in human subjects, in clinical trials or for diagnostic purposes involving human subjects; and
 - (iv) not to transfer the Material (including any Material contained or incorporated in any Modification) to any third party.
- 4. Acknowledgements of the Recipient; Right to File Patent Applications.
 - (i) Acknowledgements of the Recipient. The Recipient acknowledges that the Material is or may be the subject of a patent application. Except as expressly provided in this Agreement, the Recipient hereby acknowledges and agrees that no express or implied licenses or other rights are provided to the Recipient under any patents, patent applications, trade secrets or other proprietary rights of CHDI or the IP Rights Owner, including any altered forms of the Material made by CHDI or the IP Rights Owner. In particular, the Recipient hereby acknowledges and agrees that no express or implied licenses or other rights are provided to use the Material, Modifications or any related patents of CHDI or the IP Rights Owner for any purpose other than HD Research and Development.
 - (ii) Right to File Patent Applications. The Recipient is free to file patent application(s) claiming inventions made by, or on behalf of, the Recipient through the use of the Material but agrees not to file any patent application claiming the Material.
- 5. No Warranties. Any Original Materials delivered are understood to be experimental in nature and may have hazardous properties. THE ORIGINAL MATERIALS ARE PROVIDED "AS-IS" AND THE PROVIDER MAKES NO REPRESENTATIONS AND EXTENDS NO WARRANTIES OF ANY KIND, EITHER EXPRESSED OR IMPLIED. THERE ARE NO EXPRESS OR IMPLIED WARRANTIES OF MERCHANTABILITY OR FITNESS FOR A

- PARTICULAR PURPOSE, OR THAT THE USE OF THE MATERIAL WILL NOT INFRINGE ANY PATENT, COPYRIGHT, TRADEMARK, TRADE SECRET OR OTHER PROPRIETARY RIGHT.
- 6. <u>Assumption of Liability; Indemnification.</u> Except to the extent prohibited by law, the Recipient assumes all liability for damages to the extent due to or arising from the use, storage or disposal of the Material by the Recipient. Neither CHDI nor the IP Rights Owner will be liable to the Recipient for any loss, claim or demand made by the Recipient, or made against the Recipient by any other party, to the extent due to or arising from the use, storage or disposal of the Material by the Recipient. Except to the extent prohibited by law, the Recipient will defend and indemnify CHDI and the IP Rights Owner (and their respective directors, officers, employees, trustees, shareholders, members and agents) against any loss, claim or demand (including attorneys' fees and cost of defense and the enforcement of this provision) suffered by CHDI or the IP Rights Owner, as the case may be, to the extent due to or arising from the use, storage or disposal of the Material by the Recipient.
- 7. <u>Acknowledgement of the Source of the Material</u>. The Recipient agrees to provide appropriate acknowledgement of the source of the Material (both CHDI and the IP Rights Owner) in all publications related to HD Research and Development conducted using the Material.
- 8. Termination; Effect of Termination; Survival of Certain Provisions.
 - (a) <u>Termination</u>. This Agreement will automatically terminate upon a material breach of any representation, warranty or covenant of this Agreement by the Recipient and such breach is not remedied within 45 days of the receipt by the Recipient of notice of such breach from CHDI.
 - (b) <u>Effect of Termination</u>. Upon any termination of this Agreement, the Recipient (i) will immediately discontinue its use of the Material and any Modifications and (ii) will immediately and appropriately destroy or discard any remaining Material and any Modifications.
 - (c) <u>Survival of Certain Provisions</u>. This <u>Section 8</u> and each of <u>Section 1</u>, <u>Section 2(b)</u>, <u>Section 4</u>, <u>Section 5</u>, <u>Section 6</u>, <u>Section 7</u>, <u>Section 9</u>, <u>Section 10</u>, <u>Section 11</u>, <u>Section 12</u>, <u>Section 13</u>, <u>Section 14</u>, and <u>Section 15</u> shall survive any termination of this Agreement.
- 9. <u>Notices</u>. Any notice required or permitted to be given by this Agreement shall be in writing and shall be delivered by personal delivery, facsimile (provided the sender has evidence of successful transmission) or next day courier service. Any notice so delivered shall be deemed to be given, delivered and received, if delivered by personal delivery, on the day of delivery and if delivered by facsimile or courier service, on the day following dispatch. All such notices are to be given or made to the parties at the following addresses (or to such other

address as the Recipient or CHDI may designate by a notice given in accordance with the provisions of this section):

If to CHDI to:

CHDI, Inc. c/o MRSSI, Inc. 6080 Center Drive, Suite 100 Los Angeles, California 90045 Facsimile: 310-342-5519 Attention: Robert Pacifici

With a copy to:

CHDI, Inc. c/o MRSSI, Inc. 350 Seventh Avenue, Suite 601 New York, NY 10001 Facsimile: 212-239-2101 Attention: General Counsel

If to the Recipient to the address set forth on the signature page of this Agreement:

- 10. <u>Assignment</u>. The Recipient may not assign this Agreement without the prior written consent of CHDI.
- 11. <u>Incorporation of Appendices, Exhibits and Schedules; Entire Agreement; Amendment.</u> The appendices, exhibits and schedules identified in this Agreement are incorporated herein by reference and made a part hereof. If anything in any appendix, exhibit or schedule attached to this Agreement conflicts with any terms or conditions set forth in the body of this Agreement, the terms and conditions set forth in the body of this Agreement shall control. This Agreement constitutes the entire agreement among the parties hereto relating to the subject matter hereof and all prior understandings and agreements relating to the subject matter hereof are superseded hereby. This Agreement may not be amended except by a document signed by the Recipient and CHDI.
- 12. No Waiver. Any failure of either the Recipient or CHDI to enforce any provision of this Agreement shall not be deemed a waiver of its right to enforce such provision on any subsequent occasion. No waiver of any provision of this Agreement shall be valid unless it is in writing and is executed by the party against whom such waiver is sought to be enforced. A waiver by either the Recipient or CHDI of any provision of this Agreement will not be construed to be a waiver of any succeeding breach thereof or of any other provision of this Agreement.

- 13. <u>Severability</u>. Whenever possible, each provision of this Agreement shall be interpreted in such manner as to be effective and valid under applicable law. In the event a court of competent jurisdiction holds any provision of this Agreement to be invalid, such holding shall have no effect on the remaining provisions of this Agreement, and they shall continue in full force and effect.
- 14. <u>Interpretation; Headings</u>. The word "including" shall mean "including without limitation". All pronouns and any variations thereof refer to the masculine, feminine or neuter, singular or plural, as the context may require. All terms defined in this Agreement in their singular or plural forms have correlative meanings when used herein in their plural or singular forms, respectively. Headings used in this Agreement are for convenience of reference only and are not intended to influence the interpretation hereof.
- 15. Governing Law. This Agreement shall be governed by and construed in accordance with the domestic laws of the State of New York without giving effect to any choice or conflict of law provision or rule (whether of the State of New York or any other jurisdiction) that would cause the application of the laws of any jurisdiction other than the State of New York.

* * * * *

Execution Copy

Stored Material Transfer Agreement as	s of the date below.
[Print or Type Name of Recipient]	
By:	
Name: Title:	
Address of Recipient:	
Facsimile:	<u> </u>
Attention:	
[Print or Type Date]	

In witness to the foregoing, the Recipient has executed this Class 1 Open Access

Schedule A to Open Access Class 2 Material Transfer Agreement

(Original Materials)

Item	Description of Original Material
1	
2	
3	
4	
5	
6	
7	